



PTO/SB/81 (09-03)

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and
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INDICATION FORM**

Application Number	10/802,126
Filing Date	03/16/2004
First Named Inventor	Fang
Title	Compact High-Stability Optical
Art Unit	2874
Examiner Name	n.a.
Attorney Docket Number	OPT-115

I hereby appoint:

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name Jianhua (David) Qiang, Executive Vice President of Operations, OptiWorks, Inc.

Signature

Date 8/12/04

Telephone (510) 438-4560

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: OptiWorks, Inc.Application No./Patent No.: 10/802,126 Filed/Issue Date: 03/16/2004Entitled: Compact High-Stability Optical SwitchesOptiWorks, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/12/04
Date

(510) 438-4560

Telephone number

Jianhua (David) Wang

Typed or printed name

Signature

Executive Vice President of Operations

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ASSIGNMENT

Docket No: OPT-115

Whereas, we, Zuyun Fang of Henderson, NV, Siyu Shen of San Leandro, CA, and Jianhua Wang of Saratoga, CA, hereinafter referred to as Applicants, have invented certain new and useful improvements in

Compact High-Stability Optical Switches

for which an application for a United States Patent was

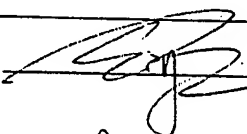
☒ filed on 03/16/2004, application number 10/802,126
☐ executed on and is filed herewith.

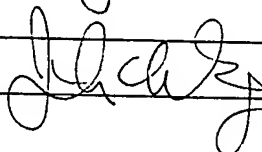
Whereas OptiWorks, Inc. of Fremont, CA, hereinafter referred to as Assignee, whose post office address is 44951 Industrial Dr., Fremont, CA 94538, is desirous of acquiring the entire right, title, and interest in the same;

Now, therefore, in consideration of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable consideration, we, Applicants, by these presents do sell, assign, and transfer unto said Assignee the full and exclusive right to said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States and any foreign countries; any division, continuation, and continuation-in-part of said application; any reissue or extension of said Patents; and all rights under the International Convention for the Protection of Industrial Property. We, Applicants, hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patents may be granted, as fully and entirely as the same would have been held by us had this agreement and sale not been made. The conditions of this Assignment shall inure to the benefit of said Assignee, its successors, assigns, and/or other legal representatives, and shall be binding upon said Applicants, their heirs, assigns, and legal representatives.

Said Applicants have executed this Assignment on the date marked below:

Zuyun Fang:  Date: 6/23/2004

Siyu Shen:  Date: 6/23/04

Jianhua Wang:  Date: 6/23/04